

PTO/SB/21 (01-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/961,391	
	Filing Date	Sept. 25, 2001	
	First Named Inventor	Kenneth J. Carstensen	
	Art Unit	3679	
	Examiner Name	MacArthur, Victor L.	
Total Number of Pages in This Submission	21	Attorney Docket Number	SR0D

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	RECEIVED FEB 07 2003	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**GROUP 3600**

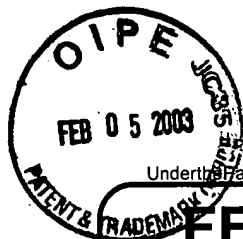
Firm or Individual	Raymond A. Bogucki
Signature	<i>Raymond A. Bogucki</i>
Date	1/23/03

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 1/23/03			
Typed or printed	Raymond A. Bogucki		
Signature	<i>Raymond A. Bogucki</i>	Date	1/23/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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FEETRANSMITTAL for FY200 3

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 55.00

Compleat if Known

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Art Unit	3679
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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit card overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee
to be added to identified deposit account.

FEES CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
1001 750	2001 375			Utility filing fee	
1002 330	2002 165			Design filing fee	
1003 520	2003 260			Plant filing fee	
1004 750	2004 375			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**=	X	
Multiple Dependent	-3**=	X	

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
1202 18	2202 9			Claims in excess of 20
1201 84	2201 42			Independent claim in excess of 3
1203 2 80	2203 1 40			Multiple dependent claim, if not paid
1204 84	2204 42			**Reissue independent claim over original patent
1205 18	2205 9			**Reissue claim in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**ornumber previously paid, if greater; For Reissues, see above

FEES CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
1051 130	205 1 65			Surcharge- late filing fee or other
1052 50	205 2 25			Surcharge- late provisional filing fee or coversheet
1053 130	105 3 130			Non-English specification
1812 2,520	1812 2,520			For filing a request for ex parte reexamination
1804 920*	1804 920*			Requesting publication of S.I.R. prior to Examiner action
1805 1,840*	1805 1,840*			Requesting publication of S.I.R. after Examiner action
1251 110	2251 55			Extension for reply within first month
1252 410	2252 205			Extension for reply within second month
1253 930	2253 465			Extension for reply within third month
1254 1,450	2254 725			Extension for reply within fourth month
1255 1,970	2255 985			Extension for reply within fifth month
1401 320	2401 160			Notice of Appeal
1402 320	2402 160			Filing a brief in support of an appeal
1403 280	2403 140			Request for oral hearing
1451 1,510	1451 1,510			Petition to institute a procedure proceeding
1452 110	2452 55			Petition to revive- unavoidable
1453 1,300	2453 650			Petition to revive- unintentional
1501 1,300	2501 650			Utility issue fee (or reissue)
1502 470	2502 235			Design issue fee
1503 630	2503 315			Plant issue fee
1460 130	1460 130			Petition to the Commissioner
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)
1806 180	1806 180			Submission of Information Disclosure Statement
8021 40	8021 40			Recording each patent assignment per property (times number of properties)
1809 750	2809 375			Filing a submission after final rejection (37 CFR 1.129(a))
1810 750	2810 375			Fore and additional invention to be examined (37 CFR 1.129(b))
1801 750	280 1 375			Request for Continued Examination (RCE)
1802 900	180 2 900			Request for expedited examination of design application

Other fee(s) specify: _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 55

SUBMITTED BY

Name (Print/Type)	Raymond A. Bogucki	Registration No. (Attorney/Agent)	17,426	Telephone	(818) 345-3201
Signature	<i>Raymond A. Bogucki</i>	Date	1/23/03		

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